

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 49975(904)

Total Pages in this Submission

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an

invention entitled:	····			• • •
LENS, OPTICAL PICKUP D	EVICE, AND METHOD F			S. PTO 9279
and invented by:				19 6c
IKUO NAKANO				jc86 009
If a CONTINUATION APPLICA	ATION, check appropriate	box and supply to	he requisite information:	
☐ Continuation ☐ Divi	sional 🗌 Continuatio	on-in-part (CIP)	of prior application No.:	
Which is a:				
☐ Continuation ☐ Divis	sional 🗌 Continuatio	on-in-part (CIP)	of prior application No.:	· · · · · · · · · · · · · · · · · · ·
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Final control control				
Enclosed are:	Applicat	ion Elements		•
I. ⊠ Filing fee as calcula	ated and transmitted as de	escribed below		
Thing lee as calcula	ned and transmitted as de	Sombed Belevi		·
2. Specification having	57	pages and in	cluding the following:	
a. 🛛 Descriptive Tit	le of the Invention			
b. Cross Referer	ices to Related Application	ns (if applicable)		
c. Statement Re	garding Federally-sponsor	ed Research/Dev	relopment (if applicable)	
d. Reference to N	Microfiche Appendix <i>(if ap</i>	plicable)		
e. 🗵 Background o	.,	•		
-	y of the Invention			•
•	on of the Drawings <i>(if drav</i>	vings filed)		
-	- '	wiigo ilicuj		
h. 🛛 Detailed Desc		•		
	assified Below			
j. 🛛 Abstract of the	Disclosure			

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

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(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission 73

Application Elements (Continued)						
3.	\boxtimes	Drawing(s) (when necessary as prescribed by 35 USC 113)				
	a.					
	b.	☐ Informal Number of Sheets				
4.	\boxtimes	Oath or Declaration				
	a.	☑ Newly executed (original or copy) □ Unexecuted				
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)				
	C.	☑ With Power of Attorney ☐ Without Power of Attorney				
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).				
5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.				
6.		Computer Program in Microfiche (Appendix)				
7.		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)				
	a.	□ Paper Copy				
	b.	□ Computer Readable Copy (identical to computer copy)				
	C.	☐ Statement Verifying Identical Paper and Computer Readable Copy				
		Accompanying Application Parts				
8.	×	Assignment Papers (cover sheet & document(s))				
9.		37 CFR 3.73(B) Statement (when there is an assignee)				
10.		English Translation Document (if applicable)				
11.	\boxtimes	Information Disclosure Statement/PTO-1449 ⊠ Copies of IDS Citations				
12.		Preliminary Amendment				
12	NZ)					
13.	×	Acknowledgment postcard				
14.	X	Certificate of Mailing				
		☐ First Class ☒ Express Mail (Specify Label No.): EL054597670US				

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15.	\boxtimes	Certified Copy of Priority Document(s) (if foreign priority is claimed)				
		Certified Copy of Japanese Patent Application No. 11-205194, Filed 7/19/99				
16.		Additional Enclosures (please identify below):				

Accompanying Application Parts (Continued)

Fee Calculation and Transmittal

CLAIMS AS FILED #Filed #Allowed Rate Fee For #Extra \$180.00 **Total Claims** 30 - 20 = 10 \$18.00 \$546.00 10 - 3 = 7 \$78.00 Indep. Claims \$0.00 Multiple Dependent Claims (check if applicable) **BASIC FEE** \$690.00 \$40.00 OTHER FEE (specify purpose) Assignment Recordal **TOTAL FILING FEE** \$1,456.00

\boxtimes	A check in the amount of	\$1,456.00	to cover the filing fee is enclosed.
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The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1105 as described below. A duplicate copy of this sheet is enclosed.

Charge the amount of

as filing fee.

- ☑ Credit any overpayment.
- ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance. pursuant to 37 C.F.R. 1.311(b).

Signature

Dated: July 19, 2000 David G. Conlin (Reg. No. 27026) Dike Bronstein, Roberts & Cushman **Intellectual Property Practice Group EDWARDS & ANGELL, LLP** 130 Water Street, Boston, MA 02109

617-523-3400

CERTIFICATE OF I Applicant(s): Ikuo Naka	MAILING BY "EXPRESS I no	MAIL" (37 CFR 1.10)	Docket No. 49975(904)	
Serial No. Not Yet Assigned	Filing Date Filed Herewith	Examiner Not Yet Assigned	Group Art Unit Not Yet Assigned	
Invention: LENS, OPTICAL PICKUI	P DEVICE, AND METHOD FOR	DETECTING LENS INCLINAT	ION	
I hereby certify that this <u>UTILITY PATENT APPLICATION</u> (Identify type of correspondence)				
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on				
		Holly F. Malar (Typed or Printed Name of Person Mai		
(Signature of Person Mailing Correspondence) EL054597670US				
		("Express Mail" Mailing Lab	el Number)	

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